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PTO/SB/01 (10-00)

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**DECLARATION FOR UTILITY OR
DESIGN
PATENT APPLICATION
(37 CFR 1.63)**

Declaration Submitted with Initial Filing OR Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)

Attorney Docket Number	OC0100US
First Named Inventor	Feierabend
COMPLETE IF KNOWN	
Application Number	
Filing Date	
Group Art Unit	
Examiner Name	

As a below named inventor, I hereby declare that:

My residence, mailing address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

OPTICAL SWITCH WITH LOW-INERTIA MICROMIRROR

(Title of the Invention)

the specification of which

is attached hereto

OR

was filed on (MM/DD/YYYY)

as United States Application Number or PCT International

(if applicable).

Application Number

and was amended on (MM/DD/YYYY)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached? YES	Certified Copy Attached? NO
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>

Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)	<input type="checkbox"/> Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.
<input type="text"/>	<input type="text"/>	<input type="checkbox"/>

[Page 1 of 2]

Burden Hour Statement: This form is estimated to take 21 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

DECLARATION — Utility or Design Patent Application

Direct all correspondence to: Customer Number
or Bar Code Label 022849 OR Correspondence address below

Name

Address

Address

City

State

ZIP

Country

Telephone

Fax

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

NAME OF SOLE OR FIRST INVENTOR : A petition has been filed for this unsigned inventor

Given Name (first and middle [if any])	Patrick E.	Family Name or Surname	Feierabend
---	------------	---------------------------	------------

Inventor's
Signature

Date

Residence: City	Santa Barbara	State	CA	Country	USA	Citizenship	US
-----------------	---------------	-------	----	---------	-----	-------------	----

Mailing Address 215 Via Sevilla Street

Mailing Address

City	Santa Barbara	State	CA	ZIP	93109	Country	US
------	---------------	-------	----	-----	-------	---------	----

NAME OF SECOND INVENTOR: A petition has been filed for this unsigned inventor

Given Name (first and middle [if any])	John S.	Family Name or Surname	Foster
---	---------	---------------------------	--------

Inventor's
Signature

Date

Residence: City	Santa Barbara	State	CA	USA	Country	US
-----------------	---------------	-------	----	-----	---------	----

Mailing Address 4678 Via Huerto

Mailing Address

City	Santa Barbara	State	CA	ZIP	93110	Country	USA
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Additional inventors are being named on the 2 supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.

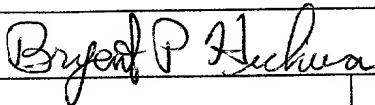
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DECLARATION

ADDITIONAL INVENTOR(S) Supplemental Sheet Page 1 of 2

Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
Bryant P.		Hichwa	
Inventor's Signature			09 Jun 2001 Date
Residence: City	Santa Rosa	State	CA
Country	USA	Citizenship US	
Mailing Address 4100 Pressley Road			
Mailing Address			
City	Santa Rosa	State	CA
ZIP	95404	Country USA	
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
Richard T.		Martin	
Inventor's Signature			Date
Residence: City	Goleta	State	CA
Country	USA	Citizenship US	
Mailing Address 5692 Berkeley Road			
Mailing Address			
City	Goleta	State	CA
ZIP	93117	Country USA	
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
Paul J.		Rubel	
Inventor's Signature			Date
Residence: City	Santa Barbara	State	CA
Country	USA	Citizenship US	
Mailing Address 527 La Marina Drive			
Mailing Address			
City	Santa Barbara	State	CA
ZIP	93109	Country USA	

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DECLARATION

ADDITIONAL INVENTOR(S) Supplemental Sheet Page 2 of 2

Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
Tara Jean (Kimon)		Rybnicek	
Inventor's Signature		Date	
Residence: City	Santa Barbara	State	CA
Country	USA	Citizenship	US
Mailing Address 1107 Crestline Drive			
Mailing Address			
City	Santa Barbara	State	CA
ZIP	93105	Country	USA
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
John W.		Stocker	
Inventor's Signature		Date	
Residence: City	Carpenteria	State	CA
Country	USA	Citizenship	US
Mailing Address 185 Ocean View Avenue			
Mailing Address			
City	Carpenteria	State	CA
ZIP	93105	Country	USA
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
Jeffery F.		Summers	
Inventor's Signature		Date	
Residence: City	Santa Barbara	State	CA
Country	USA	Citizenship	US
Mailing Address 1416 Clear View Road			
Mailing Address			
City	Santa Barbara	State	CA
ZIP	93101	Country	USA

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		First Named Inventor	Feierabend
COMPLETE IF KNOWN			
Application Number		/	
Filing Date			
Group Art Unit			
Examiner Name			

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(Title of the Invention)

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OR

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Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached? YES <input type="checkbox"/> NO <input type="checkbox"/>
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
<input type="checkbox"/> Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.				
I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.				
Application Number(s)	Filing Date (MM/DD/YYYY)		<input type="checkbox"/> Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.	
<input type="checkbox"/>	<input type="checkbox"/>			

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Name

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Address

City

State

ZIP

Country

Telephone

Fax

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

NAME OF SOLE OR FIRST INVENTOR: A petition has been filed for this unsigned inventor

Given Name (first and middle [if any])	Patrick E.	Family Name or Surname	Felerabend
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Inventor's Signature	Patrick Felerabend	Date	1/11/01
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Residence: City	Santa Barbara	State	CA	Country	USA	Citizenship	US
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Mailing Address 215 Via Sevilla Street

Mailing Address

City	Santa Barbara	State	CA	ZIP	93109	Country	US
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NAME OF SECOND INVENTOR: A petition has been filed for this unsigned inventor

Given Name (first and middle [if any])	John S.	Family Name or Surname	Foster
---	---------	---------------------------	--------

Inventor's Signature	John S. Foster	Date	1/11/01
-------------------------	----------------	------	---------

Residence: City	Santa Barbara	State	CA	Country	USA	Citizenship	US
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Mailing Address 4678 Via Huerto

Mailing Address

City	Santa Barbara	State	CA	ZIP	93110	Country	USA
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DECLARATION**ADDITIONAL INVENTOR(S)****Supplemental Sheet**

Page 1 of 2

Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
Bryant P.		Hichwa	
Inventor's Signature		Date	
Residence: City Santa Rosa		State CA	Country USA
Mailing Address 4100 Pressley Road			
Mailing Address			
City Santa Rosa		State CA	Zip 95404
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
Richard T.		Martin	
Inventor's Signature <i>Richard T. Martin</i>		Date <i>11-Jan-2001</i>	
Residence: City Goleta		State CA	Country USA
Mailing Address 5692 Berkeley Road		Citizenship US	
Mailing Address			
City Goleta		State CA	Zip 93117
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
Paul J.		Rubel	
Inventor's Signature <i>P.J. Rubel</i>		Date <i>Jan 11, 2001</i>	
Residence: City Santa Barbara		State CA	Country USA
Mailing Address 527 La Marina Drive		Citizenship US	
Mailing Address			
City Santa Barbara		State CA	Zip 93109
Country USA			

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PAGE 09
PAGE 03

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Under the Patent Protection Act of 1990, it is a criminal offense to intentionally and willfully falsify information on this form.

ADDITIONAL INVENTOR(S)
Supplemental Sheet
Page 2 of 2

Name of Additional Joint Inventor, if any:

 A petition has been filed for this unsigned inventor

Given Name (first and middle if any)

Family Name or Surname

Kimon

Rybniak

Inventor's
Signature

Date

1/11/01

Residence City: Santa Barbara

State: CA

Country: USA

Citizenship: US

Mailing Address: 1107 Creative Drive

Mailing Address

City: Santa Barbara

State: CA

ZIP: 93105

Country: USA

Name of Additional Joint Inventor, if any:

 A petition has been filed for this unsigned inventor

Given Name (first and middle if any)

Family Name or Surname

John W.

Stocker

Inventor's
Signature

Date

1/17/01

Residence City: Santa Barbara

State: CA

Country: USA

Citizenship: US

Mailing Address: 106 Government Avenue 211 Bath

Mailing Address

City: Santa Barbara, Serpentario

State: CA

ZIP: 93106 93101

Country: USA

Name of Additional Joint Inventor, if any:

 A petition has been filed for this unsigned inventor

Given Name (first and middle if any)

Family Name or Surname

Jeffery F.

Summers

Inventor's
Signature

Date

1/17/2001

Residence City: Santa Barbara

State: CA

Country: USA

Citizenship: US

Mailing Address: 1416 Clear View Road

Mailing Address

City: Santa Barbara

State: CA

ZIP: 93101

Country: USA

Section Four Statement: This form is subject to 37 CFR section 1.13. This will vary depending upon the needs of the individual case. Any comments or questions concerning this form should be directed to the Office of the General Counsel, U.S. Patent and Trademark Office, Washington, DC 20591. DO NOT SEND FEE OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20591.

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STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Feierabend et al.

Application No./Patent No.: unassigned Filed/Issue Date: herewith

Entitled: OPTICAL SWITCH WITH LOW-INERTIA MICROMIRROR

Optical Coating Laboratory, Inc., a corporation
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. the assignee of the entire right, title, and interest; or (Equal, undivided interest with Applied Magnetics Corporation, dba Innovative Micro Technology)
2. an assignee of less than the entire right, title and interest. The extent (by, percentage) of its ownership interest is _____ %

in the patent application/patent identified above by virtue of either:

A. An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

B. A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
2. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
3. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

 Additional documents in the chain of title are listed on a supplemental sheet. Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Jan. 17, 2001

Date

Scott W. Hewett

Typed or printed name

Signature Jan. 17, 2001

Attorney for Optical Coating Laboratory, Inc.

Title